

SRA Education, Training and Assessment Provider Regulations

i Guidance, changes, terms, notes and tags

<u>[https://indemnity.sra.org.uk/solicitors/standards-regulations/education-training-assessment-provider-regulations/?docId=1931775049]</u>

Introduction

These regulations set out the requirements governing organisations which are providing or intending to provide education and training, and the delivery of assessments to those seeking to be admitted as solicitors.

This introduction does not form part of the SRA Education, Training and Assessment Provider Regulations.

Part 1: Requirements for education providers

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Regulation 1: Education providers

[https://indemnity.sra.org.uk/solicitors/standards-regulations/education-training-assessment-provider-regulations/?contentId=1809444797]

- 1. Only an <u>approved education provider</u> [https://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#approved-education-provider] may provide and assess:
 - 1. a <u>Qualifying Law Degree</u>
 [https://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#Qualifying-Law-Degree];
 - 2. a <u>CPE [https://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#CPE]</u>;
 - 3. an <u>Exempting Law Degree</u>
 [https://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#Exempting-Law-Degree]; or
 - 4. an <u>Integrated Course [https://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#Integrated-Course]</u>.
- 2. Only an <u>authorised education provider</u>

 [https://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#authorised-education-provider]_may provide and assess the <u>Legal Practice Course</u>
 [https://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#Legal-Practice-Course]_or the Professional Skills Course.

- 3. An organisation may apply to the <u>SRA</u>

 [https://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#SRA]_in Such manner as may be prescribed

 [https://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#prescribed] to be an approved education provider

 [https://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#approved-education-provider] or an authorised education provider

 [https://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#authorised-education-provider].
- 4. The <u>SRA [https://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#SRA]</u> may, in relation to an application for approval or authorisation:
 - 1. grant the application, subject to such conditions and for such period as it considers appropriate; or
 - 2. refuse the application.
- 5. If the <u>SRA [https://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#SRA] considers that an approved education provider [https://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#approved-education-provider] or an authorised education provider [https://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#authorised-education-provider] has failed to comply with any obligation placed on it under these regulations, the <u>SRA [https://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#SRA] may:</u></u>
 - 1. revoke the organisation's approval or authorisation, as appropriate; or
 - 2. make the approval or authorisation subject to such conditions as it considers appropriate.

Part 2: Requirements for authorised training providers

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Regulation 2: Authorised training providers

[https://indemnity.sra.org.uk/solicitors/standards-regulations/education-training-assessment-provider-regulations/?contentId=107084372]

- 2. Only an <u>authorised training provider</u>

 [https://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#authorised-training-provider] may provide a <u>period of recognised training</u>

 [https://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#period-of-recognised-training] to trainees [https://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#trainee].
- 3. An organisation may apply for authorisation as an <u>authorised</u> <u>training provider [https://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#authorised-training-provider]</u> and its application must demonstrate that it will meet the requirements of regulations 3 to 5 below.

- 4. The <u>SRA [https://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#SRA]</u> may, in relation to an application for approval or authorisation:
 - 2. grant the application, subject to such conditions and for such period as it considers appropriate; or
 - 3. refuse the application.
- 5. If the <u>SRA [https://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#SRA]</u> considers that an <u>authorised training provider [https://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#authorised-training-provider]</u> or a <u>training-principal</u> [https://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#training-principal] has failed to comply with any obligation placed on it under these regulations, the <u>SRA [https://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#SRA] may:</u>
 - 2. revoke the organisation's authorisation;
 - 3. make the authorisation subject to such conditions as it considers appropriate; or
 - 4. require the <u>authorised training provider</u>
 [https://indemnity.sra.org.uk/solicitors/standardsregulations/glossary/#authorised-training-provider]_to appoint a new
 training_principal [https://indemnity.sra.org.uk/solicitors/standardsregulations/glossary/#training-principal]_.

Regulation 3: Requirements for authorised training providers

[https://indemnity.sra.org.uk/solicitors/standards-regulations/education-training-assessment-provider-regulations/?contentId=1687114545]

- 3. An <u>authorised training provider</u> [https://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#authorised-training-provider]_must:

 - 4. pay the fees and expenses for each <u>trainee's</u> [https://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#trainee] first attempt at the Professional Skills Course.

Regulation 4: Requirements for recognised training

[https://indemnity.sra.org.uk/solicitors/standards-regulations/education-training-assessment-provider-regulations/?contentId=1119661070]

- 4. A period of recognised training
 - [https://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#period-of-recognised-training] must:
 - 4. unless regulation 4.2 applies, be of a duration of a total of at least two years full time, or equivalent;
 - 5. ensure that the trainee [https://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#trainee] has applied and developed the skills as set out in the Practice Skills Standards [https://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#Practice-Skills-Standards];
 - 6. be appropriately supervised by solicitors

 [https://indemnity.sra.org.uk/solicitors/standardsregulations/glossary/#solicitor] and other individuals who have
 adequate legal knowledge and experience in the practice area
 they are supervising and the necessary skills to provide
 effective supervision; and
 - 7. include regular appraisal of the <u>trainee's</u>
 [https://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#trainee]
 performance and development, and review of the <u>trainee's</u>
 record of training [https://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#record-of-training]
- 5. An <u>authorised training provider</u>
 [https://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#authorised-training-provider] may recognise previous work-based experience the trainee [https://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#trainee] has undertaken as satisfying up to six months of the required <u>period of recognised training</u>
 [https://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#period-of-recognised-training], provided:
 - 4. the experience was gained in the three years preceding the commencement of the <u>period of recognised training</u>

 [https://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#period-of-recognised-training];
 - 5. the experience enabled the trainee
 [https://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#trainee]

 to apply and develop one or more of the skills as set out in the Practice Skills Standards
 [https://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#Practice-Skills-Standards; and
 - 6. the trainee [https://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#trainee] was supervised, and was subject to an appraisal of their performance and development, during the period of work-based experience.

Regulation 5: Training principals

 $[\underline{https://indemnity.sra.org.uk/solicitors/standards-regulations/education-training-assessment-provider-regulations/?contentId=552207595]$

5. The <u>training principal [https://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#training-principal]</u> for an authorised training provider

[https://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#authorised-training-provider]_must:

- 5. be a <u>solicitor [https://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#solicitor]</u> holding a current practising certificate or be a practising <u>barrister</u> [https://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#barrister];
- 6. notify the <u>SRA [https://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#SRA] in the prescribed [https://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#prescribed] form before any individual commences a period of recognised training [https://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#period-of-recognised-training] or if this is not possible then as soon as practicable thereafter;</u>
- 7. ensure that the training provided meets the requirements of regulation 4;
- 8. ensure that the trainee maintains a <a href="mainted-regulations/glossary/#trainee] maintains a record-of-training which will meet the requirements set out at regulation 3E.1 of the SRA Authorisation of Individuals Regulations; and
- 9. certify to the <u>authorised training provider</u>
 [https://indemnity.sra.org.uk/solicitors/standardsregulations/glossary/#authorised-training-provider] in the <u>prescribed</u>
 [https://indemnity.sra.org.uk/solicitors/standardsregulations/glossary/#prescribed] form at the end of any <u>period of recognised training [https://indemnity.sra.org.uk/solicitors/standardsregulations/glossary/#period-of-recognised-training] whether, in their opinion, the <u>trainee [https://indemnity.sra.org.uk/solicitors/standardsregulations/glossary/#trainee]</u>:</u>
 - 5. is of the proper <u>character and suitability</u> [https://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#solicitor]; and
 - 6. has completed training which complies with regulation 4 of these regulations,

and inform the SRA of any previous experience recognised under regulation 4.2.

[https://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#trainee]

Part 3: Requirements for higher rights of audience and Police Station Representative Accreditation Scheme assessment providers

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Regulation 6: Higher rights of audience assessment providers

- 6. Only an organisation appointed by the <u>SRA</u>

 [https://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#SRA] may provide assessments in higher courts
 [https://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#higher-courts] civil advocacy and higher courts
 [https://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#higher-courts] criminal advocacy conferring a higher courts advocacy qualification
 [https://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#higher-courts-advocacy-qualification].
- 7. The appointment assessment provider must pay to the <u>SRA</u>
 [https://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#SRA] Such
 fee as may be prescribed for each condidate undertaking the <u>higher</u>
 courts advocacy qualification
 [https://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#higher-courtsadvocacy-qualification].
- 8. From 1 October 2025, only the appointed assessment provider may deliver assessments in higher courts civil advocacy and higher courts [https://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#higher-courts] criminal advocacy conferring higher rights qualifications.
- 9. Any organisation that was previously approved to provide such assessments must, by no later than 1 April 2026, complete all outstanding administrative work relating to assessment delivered on or before 30 September 2025. This includes issuing results, processing appeals, and handling any other post-assessment obligations in accordance with the requirements in place at the time the assessment was conducted.

Regulation 6A: Police Station Representative Accreditation Scheme assessment providers

 $[\underline{https://indemnity.sra.org.uk/solicitors/standards-regulations/education-training-assessment-provider-regulations/?contentId=1691440366]$

- 6. Only an organisation approved by the SRA
 <a href="Inttps://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#SRA] may provide assessments for the Police Station Representative Accreditation Scheme.
- 7. An organisation may apply to the <u>SRA</u>

 [https://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#SRA]_in Such manner as may be <u>prescribed</u>

 [https://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#prescribed] to be approved to provide such assessments.
- 8. The <u>SRA [https://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#SRA] may</u>, in relation to an application for approval:

- 6. grant the application, subject to such conditions as it considers appropriate; or
- 7. refuse the application.
- 9. The <u>SRA [https://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#SRA]</u> shall issue assessment standards against which an assessment provider must assess the competence of those applying for a police station representative accreditation.
- 10. The <u>SRA [https://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#SRA]</u> may issue assessment guidelines for the delivery by an assessment provider of the assessment of those applying for a police station representative accreditation. An assessment provider must provide assessments in accordance with any such guidelines.
- 11. If the <u>SRA [https://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#SRA]</u> considers that an assessment provider has failed to comply with any obligation placed on it under these regulations, the <u>SRA [https://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#SRA]</u> may:
 - 6. revoke the provider's approval; or
 - 7. make the approval subject to such conditions and for such period as it considers appropriate.

Part 4: Monitoring and inspection

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Regulation 7: Monitoring and inspection

[https://indemnity.sra.org.uk/solicitors/standards-regulations/education-training-assessment-provider-regulations/?contentId=1442454041]

- 7. In order to protect and promote the standards of legal education and training, the <u>SRA [https://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#SRA] may:</u>
 - 7. monitor the relevant programmes of study provided by an approved education provider
 <a href="mailto:linker:link
 - 8. visit the provider's premises, at such intervals and on such grounds as it may consider appropriate;
 - 9. require the provider to respond promptly, fully and accurately to any request by the <u>SRA</u>



- [https://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#SRA] for explanations, information or documents;
- 10. require the provider to ensure that relevant information or documents it holds, or that a third party holds on its behalf are available for inspection.

Part 5: Transitional provisions

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Regulation 8: Transitional provisions

[https://indemnity.sra.org.uk/solicitors/standards-regulations/education-training-assessment-provider-regulations/?contentId=1887577264]

- 8. Any approval, authorisation or recognition granted under the Monitoring of Courses Regulations 1991, the SRA Training Regulations 2011, the SRA Higher Rights of Audience Regulations 2011 or the SRA Training Regulations 2014 Qualification and Provider Regulations, will continue as if granted under these regulations.
- 9. A <u>period of recognised training</u>
 [https://indemnity.sra.org.uk/solicitors/standards-regulations/glossary/#period-of-recognised-training] entered into before these regulations come into force will continue to be governed by the SRA Training Regulations 2014 Qualification and Provider Regulations.

Supplemental notes

Regulations made by the SRA Board on 16 March 2023.

Made under section 2 of the Solicitors Act 1974.

SRA Education, Training and Assessment Provider Regulations

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Guidance (1) []

Tags

Law degrees & education providers

[https://indemnity.sra.org.uk/solicitors/standards-regulations/index/#12884941452]
Training contracts & trainees [https://indemnity.sra.org.uk/solicitors/standards-regulations/index/#12884941455]

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Guidance

Guidance

<u>Vocational training for trainee solicitors - Guidance</u>
[https://indemnity.sra.org.uk/solicitors/guidance/vocational-training-for-trainee-solicitors/]

Guidance: To help you understand the steps you need to take to complete the vocational part of training to become a solicitor.

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